

Project Name:GN Audio_CM-VSOR_Maint_2022

CMRT Effective Date On or After: 2022-01-01

	2022-04-18		2022-05-13	
MFR Summary				
1. Original MFRs	129		148	
1.1 Consolidated MFRs	125		142	
1.1.1 Dropped Consolidated MFRs	0		0	
1.1.1.1 Dropped Consolidated MFRs - New Collection	0		0	
1.1.1.2 Dropped Consolidated MFRs - Maintenance	0		0	
1.1.2 In-Scope Consolidated MFRs (1.1.2.1 + 1.1.2.2)	125	100%	142	100%
1.1.2.1 Consolidated MFRs - New Collection	15		33	
1.1.2.2 Consolidated MFRs - Maintenance	110		109	
1.1.3 In-Scope Suppliers	117		133	
1.1.3.1 Suppliers - New Collection	13		31	
1.1.3.2 Suppliers - Maintenance	104		102	
2. Consolidated MFR Collection Status (2.1+2.2=1.1.2)				
2.1 Number of MFRs - CMRT Received	105	84%	117	82%
2.2 Number of MFRs - CMRT Not Received	20	16%	25	18%
3. Consolidated MFR Sub-Collection Status (3.1+3.2+3.3+3.4=1.1.2)				
3.1 Number of MFRs - Delivered	28	22%	68	48%
3.1.1 3TG Free (Q1/Q2)	0		1	
3.1.2 Sourcing only from Certified/Active SoRs - RMAP/TI-CMC Category A/RJC/LBMA/DMCC	14		36	
3.1.3 Not only Sourcing from Certified/Active SoRs - RMAP/TI-CMC Category A/RJC/LBMA/DMCC	14		31	
3.2 Number of MFRs - Major Issues	76	61%	48	34%
3.3 Number of MFRs - Escalated	0	0%	0	0%
3.4 Number of MFRs - In Process	21	17%	26	18%
4. Declaration Scopes of Delivered Consolidated MFRs (4.1+4.2+4.3=3.1)	28	100%	68	100%
4.1 Company Level	23	82%	44	65%
4.2 User Defined	3	11%	5	7%
4.3 Product List/Product	2	7%	19	28%
5. CMRT Status				
5.1 Number of CMRTs - Total	139	100%	156	100%
5.2 Number of CMRTs - Delivered	29	21%	72	46%
5.2.1 Number of CMRTs - No Issues	10		39	
5.2.2 Number of CMRTs - Minor Issues	19		33	
5.3 Number of CMRTs - Major Issues	110	79%	84	54%
6. SoR Analysis				
6.1 Number of SoRs - Total	266	100%	267	100%
6.2 Certification	266		267	
6.2.1 Number of Certified SoRs - RMAP/RJC/LBMA/DMCC	235	88%	232	87%
6.2.2 Number of Active SoRs - RMAP Active/TI-CMC Category A	17	6%	17	6%
6.2.3 Number of other SoRs	14	5%	18	7%
6.3 SoR Legitimacy Validation	266	100%	267	100%
6.3.1 Certified SoRs	235	88%	232	87%
6.3.2 Non-Certified SoRs	27	10%	26	10%
6.3.3 Entity Licensed to Trade	0	0%	0	0%
6.3.4 Non-SoRs	4	2%	9	3%
6.3.5 Unknown	0	0%	0	0%
6.4 Number of Consolidated MFRs with SOR Alert	15		16	
7. Covered Countries Alert				
7.1 Number of Consolidated MFRs - Sourced from Covered Countries (Q3="Yes")	19		39	
7.2 Number of Consolidated MFRs with SoRs - Located in Covered Countries	11		15	
7.3 Number of SoRs - Located in Covered Countries	1		1	
7.3.1 Number of Certified SoRs - RMAP/RJC/LBMA/DMCC	1		1	

7.3.2 Number of Active SoRs -RMAP Active/TI-CMC Category A	0		0	
7.3.3 Number of other SoRs	0		0	
7.4 Mine Status Validation	266	100%	267	100%
7.4.1 Not Applicable (6.3.1+6.3.3+6.3.4)	239	90%	241	90%
7.4.2 100% from recycled or scrap sources	4	2%	4	1%
7.4.3 Not in Covered Countries	8	3%	9	3%
7.4.4 In Covered Countries	0	0%	0	0%
7.4.4.1 Number of Active SoRs -RMAP Active/TI-CMC Category A	0		0	
7.4.4.2 Number of Non-Active SoRs -RMAP Active/TI-CMC Category A	0		0	
7.4.5 Unknown	15	6%	13	5%
8. CAHRAs Alert				
8.1 Number of Consolidated MFRs - Sourced from CAHRAs (Q4="Yes")	18		37	
8.2 Number of Consolidated MFRs with SoRs - Located in CAHRAs	11		15	
8.3 Number of SoRs - Located in CAHRAs	1		1	
8.3.1 Number of Certified SoRs - RMAP/RJC/LBMA/DMCC	1		1	
8.3.2 Number of Active SoRs -RMAP Active/TI-CMC Category A	0		0	
8.3.3 Number of other SoRs	0		0	
9. Customer Smelters-of-Concern Alert				
9.1 Number of Consolidated MFRs with SoRs in Customer Smelters-of-Concern List	15		11	
9.2 Number of SoRs in Customer Smelters-of-Concern List	10		9	
9.2.1 Number of Certified SoRs - RMAP/RJC/LBMA/DMCC	0		0	
9.2.2 Number of Active SoRs -RMAP Active/TI-CMC Category A	0		0	
9.2.3 Number of other SoRs	10		9	
10. U.S. Sanction Alert				
10.1 Number of Consolidated MFRs with SoRs Located in Sanctioned Countries	15		24	
10.2 Number of SoRs Located in Sanctioned Countries	15		15	

Note: U.S. sanction alerts are provided at country level and subject to change

